HYDRO MANAGEMENT GROUP, LLC

C/O ESSEX HYDRO ASSOCIATES, LLC 55 UNION STREET, 4TH FL BOSTON, MA 02108 NHPUC 3FEB14px1:33

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+617-367-0032

E-MAIL:

SJH@ESSEXHYDRO.COM

January 30, 2014

Ms. Debra A. Howland Executive Director and Secretary State of New Hampshire Public Utilities Commission 21 S. Fruit St, Suite 10 Concord, NH 03301-2429

Attn: Executive Director and Secretary Howland

Dear Ms. Howland,

Pursuant to the requirements of Puc 2506.1 Registration for Aggregation of Sources, please find enclosed Andrew Locke' application for registration as an aggregator of renewable energy credits in the State of New Hampshire.

An electronic copy of this application was emailed to you at executive.director@puc.nh.gov and Barbara Bernstein at barbara.bernstein@puc.nh.gov on Thursday, January 30 and three hard copies were hand delivered to your attention at the New Hampshire PUC on Monday, February 3, 2014

Thank you in advance for review of this application and please contact Stephen Hickey at 617-367-0032 or sjh@essexhydro.com with any questions

Sincerely,

Hydro Management Group, LLC

Andrew Locke Vice President



State of New Hampshire Public Utilities Commission



21 S. Fruit Street, Suite 10, Concord, NH 03301-2429

<u>Draft</u> APPLICATION FORM FOR REGISTRATON AS AN AGGREGATOR OF RENEWABLE ENERGY CREDITS

Pursuant to New Hampshire Administrative Code Puc 2506.01¹:

A person not otherwise qualified to obtain certificates as a provider of electricity may purchase, combine, and re-sell whole or fractional certificates issued by the commission to <u>customer-sited sources</u>² by registering as an aggregator pursuant to this section.

> New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429

- Send an electronic version of the completed application and the cover letter electronically to executive.director@puc.nh.gov.
- * The cover letter must include complete contact information. Pursuant to Puc 2505.01, the Commission is required to render a decision on an application within 45 days of receiving a completed application.

If you have any questions please contact Barbara Bernstein at (603) 271-6011 or Barbara.Bernstein@puc.nh.gov.

| 1) General Information | on | | | | | | |
|--|--|--|----------------|---|-----------------|--|--|
| Aggregator Name: | Andrew Locke | | | | | | |
| Mailing Address: | Hydro Management Group, LLC c/o Essex Hydro Associates, L.L.C. 55 Union Street, 4 th FI | | | | | | |
| Town/City: _E | Boston | State: _ | MA | Zip Code: _ | 02108 | | |
| Primary Contact: A | Andrew Locke | | | | | | |
| Telephone: _6 | 517-367-0032 | | Cell: | 11 mm - 11 mm | | | |
| Email address: _a | locke@essexhydro.com | | | | | | |
| Identification of the independent monitor or monitors responsible for verifying the production of energy from the applicable customer-sited sources pursuant to Puc 2506.01(b)(3). | | | | | | | |
| | William P. Short, III an i | independe | ent consultant | has been ret | tained by Hydro | | |
| Independent Monitor | Name: Management Group LLC | Management Group LLC to perform independent monitoring services from any | | | | | |

¹ See Puc 2506, page 19.

² See Puc 2502.13, page 3, and Puc 2504.13, page 11.

| | | Hampshire RPS, including the requirements of independent monitors. | | | | | | |
|-------------|--|--|--|--|--|--|--|--|
| | Telephone: | 917-201-0001 | Cell: | 201-970-3707 | | | | |
| | Email address: | w.shortiii@verizon.net | | , | | | | |
| 3) | | | | nt indicating whether there is any family nonitors, and the aggregator pursuant to | | | | |
| | 2506.01(b)(4). (The box provided will expand to accommodate the disclosure statement.) | | | | | | | |
| | | Mr. Short has no direct ownership interest in Hydro Management Group LLC. Mr. Short's business relationship | | | | | | |
| | with Hydro Management G | with Hydro Management Group LLC is solely that of an independent monitor. | | | | | | |
| | services parsualle to assert | DI(b)(5). (Please note that t | ne indepen | dent monitor is paid by the customer- | | | | |
| | sited source, and not by th the independent monitor is Mr. Short is paid a fixed fee relationship with Hydro Ma immediately inform the Ne | e aggregator.) (The box provi compensated.) for his services. He is qualifi nagement Group LLC should | ed as an incochange, Hyodifications | dent monitor is paid by the customer- cand to accommodate the explanation of dependent monitor. If Mr. Short's business dro Management Group LLC will and designate an alternative independent | | | | |
| ;) | sited source, and not by the the independent monitor is Mr. Short is paid a fixed fee relationship with Hydro Ma immediately inform the New monitor subject to the appropriate to the second control of the second control o | e aggregator.) (The box provi compensated.) for his services. He is qualifican nagement Group LLC should w Hampshire PUC of such mo roval of the New Hampshire I | ed as an inc change, Hy odifications PUC. | dependent monitor. If Mr. Short's business dro Management Group LLC will and designate an alternative independent | | | | |
| ;) <u> </u> | sited source, and not by the the independent monitor is Mr. Short is paid a fixed feer relationship with Hydro Ma immediately inform the Nemonitor subject to the appropriate of the property of the subject to the appropriate of the subject to the sub | e aggregator.) (The box provicompensated.) for his services. He is qualificangement Group LLC should we Hampshire PUC of such more an aggregator may aggregat | ed as an incochange, Hy edifications PUC. | dependent monitor. If Mr. Short's business dro Management Group LLC will | | | | |
|) | sited source, and not by the the independent monitor is. Mr. Short is paid a fixed feer relationship with Hydro Maimmediately inform the Newmonitor subject to the appropriate to the appropriate (1). The associated generation and Puc 2502.08.4 | e aggregator.) (The box provicompensated.) for his services. He is qualificangement Group LLC should we Hampshire PUC of such more an aggregator may aggregat | ed as an incochange, Hypodifications PUC. e fractional ource and to | dependent monitor. If Mr. Short's business dro Management Group LLC will and designate an alternative independent or whole certificates only if: | | | | |
| 5) | sited source, and not by the the independent monitor is. Mr. Short is paid a fixed feer relationship with Hydro Maimmediately inform the Nemonitor subject to the appropriate to the appropriate (1). The associated generation and Puc 2502.08.4 (2) The source is otherwise (3). The energy associated with the independent of the independe | e aggregator.) (The box province compensated.) If for his services. He is qualification agement Group LLC should by Hampshire PUC of such more roval of the New Hampshire I an aggregator may aggregation uses the same energy resident in the same energy rea | ed as an incochange, Hy odifications PUC. e fractional ource and the spursuant luced in the | lependent monitor. If Mr. Short's business dro Management Group LLC will and designate an alternative independent or whole certificates only if: to Puc 2504.03 ⁵ same calendar year. | | | | |

applicable customer-sited sources. Mr. Short is thoroughly familiar with the New

7) In order to qualify as an Aggregator of Renewable Energy Certificates (RECs), you must register with the NEPOOL – GIS. Contact information for the GIS administrator follows:

Please initial that you have a full understanding of and agree to abide by Puc 2506.01(d).

James Webb

Registry Administrator, APX Environmental Markets 224 Airport Parkway, Suite 600, San Jose, CA 95110

discontinuing the provision of aggregator services.

³ See Puc 2502.07, page 2 and 3.

⁴ See Puc 2502.08, page 3.

⁵ See Puc 2504.03, pages 11 and 12.

Office: 408.517.2174

jwebb@apx.com

| Mr. Webb will assist | you in obtaining a GIS facil | ity code and, if a | applicable, an IS | SO-New England ass | et ID number. | | | | |
|--|---|--------------------|-------------------|------------------------|---------------|--|--|--|--|
| GIS Facility Code # NON39169 | | Asset ID# | NON39169 | N39169 | | | | | |
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| | | | | | | | | | |
| 8) The following affidavit must be completed by the owner attesting to the accuracy of the contents of the | | | | | | | | | |
| application pursuant to Puc 2505.02(b)(14). AFFIDAVIT | | | | | | | | | |
| | | AFFIDAVII | | | | | | | |
| I, And | Andrew J. Locke have reviewed the contents of this application and attest that it | | | | | | | | |
| | | | | | | | | | |
| is accurate and is signed under the pains and penalties of perjury. | | | | | | | | | |
| Applicant's Signatu | re Glaf. | | Date | Januar | y 30,2014 | | | | |
| | | | | | | | | | |
| Applicant's Printed Name Andrew J. Locke | | | | | | | | | |
| | | | | | | | | | |
| Subscribed and swo | orn before me this 30 | Day of J | anuary (mo | nth) in the year —— | 2014 | | | | |
| | | | | | | | | | |
| County of | Suffolk | State of | | Massachusetts | | | | | |
| | | | | | | | | | |
| STEPHEN J. HICKEY Notary Public Stephen J. Hestus | | | | | | | | | |
| COMMONWEALTH OF MASSACHUSETTS My Commission Expires Notary Public/Justice of the Peace | | | | | | | | | |
| July 29, 2016 July 29, 2016 | | | | | | | | | |
| | My Commission Exp | oires | July 2 | .5, 2010 | | | | | |
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